

## **Espresso Financial Services Private Limited**

Format for Investor Complaints Data to be displayed by Depository Participants on their respective websites.

## Data for every month ending - 30/11/2024

| SN | Received<br>from                 | Carried<br>forward<br>from<br>previous<br>month | Received<br>during<br>the<br>month | Total<br>Pending | Resolved* | Pending at the end<br>of the month**    |  | Average<br>Resolution<br>time^<br>(in days) |
|----|----------------------------------|---|------------------------------------|------------------|-----------|---|--|---|
|    |                                  |   |                                    |                  |           | Pending<br>for less<br>than 3<br>months | Pending<br>for<br>more<br>than 3<br>months |   |
| 1  | 2                                | 3   | 4                                  | 5                | 6         | 7                                       |  | 8   |
| 1  | Directly<br>from<br>Investors    | 00  | 00                                 | 00               | 00        | 00                                      | 00   | 00  |
| 2  | SEBI<br>(SCORES)                 | 00  | 00                                 | 00               | 00        | 00                                      | 00   | 00  |
| 3  | Depositories                     | 01  | 02                                 | 03               | 03        | 00                                      | 00   | 15  |
| 4  | Other<br>Sources,<br>ODR (ifany) | 00  | 00                                 | 00               | 00        | 00                                      | 00   | 00  |
| 5  | Grand Total                      | 01  | 02                                 | 03               | 03        | 00                                      | 00   | 15  |

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month



| SN | Month       | Carried forward<br>From previous<br>month | Received | Resolved* | Pending** |
|----|-------------|---|----------|-----------|-----------|
| 1  | 2           | 3   | 4        | 5         | 6         |
| 1  | May, 2024   | 00  | 01       | 01        | 00        |
| 2  | June, 2024  | 00  | 00       | 00        | 00        |
| 3  | July, 2024  | 00  | 08       | 05        | 03        |
| 4  | Aug, 2024   | 03  | 01       | 04        | 00        |
| 5  | Sept, 2024  | 00  | 02       | 00        | 02        |
| 6  | Oct, 2024   | 02  | 02       | 03        | 01        |
| 7  | Nov, 2024   | 01  | 02       | 03        | 00        |
|    | Grand Total | 06  | 16       | 16        | 06        |

## Trend of monthly disposal of complaints

\*Should include complaints of previous months resolved in the current month, ifany. \*\*Should include total complaints pending as on the last day of the month, if any.

## Trend of annual disposal of complaints

| SN | Year        | Carried forward<br>from previous<br>year | Received<br>during the<br>year | Resolved<br>during the year | Pending at<br>the end<br>of the |
|----|-------------|--|--------------------------------|-----------------------------|---------------------------------|
| 1  | 2020.21     | 00                                       | 0.6                            | 07                          | year                            |
| 1  | 2020-21     | 00                                       | 06                             | 06                          | 00                              |
| 2  | 2021-22     | 00                                       | 10                             | 10                          | 00                              |
| 3  | 2022-23     | 00                                       | 11                             | 11                          | 00                              |
| 4  | 2023-24     | 00                                       | 09                             | 09                          | 00                              |
| 5  | 2024-25     | 00                                       | 16                             | 16                          | -                               |
|    | Grand Total | 00                                       | 52                             | 52                          | 00                              |