

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U65100MH2015PTC269036

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAWCS2915D

(ii) (a) Name of the company

ESPRESSO FINANCIAL SERVICE

(b) Registered office address

The Ruby ,18th Floor,
29 Senapati Bapat Marg, Dadar (West)
Mumbai
Mumbai City
Maharashtra
400028

(c) *e-mail ID of the company

companysecretarial@sharekha

(d) *Telephone number with STD code

02261150000

(e) Website

www.myespresso.com

(iii) Date of Incorporation

09/10/2015

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes ☒ No

(vii) *Financial year From date 01/04/2021 (DD/MM/YYYY) To date 31/03/2022 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 28/09/2022

(b) Due date of AGM 30/09/2022

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 3

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K5	Financial and Credit leasing activities	32.63
2	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	67.37
3	K	Financial and insurance Service	K8	Other financial activities	0

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	SHAREKHAN LIMITED	U99999MH1995PLC087498	Holding	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	70,000,000	57,817,142	57,817,142	57,817,142
Total amount of equity shares (in Rupees)	700,000,000	578,171,420	578,171,420	578,171,420

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares of Par Value of Rs. 10 /- each				
Number of equity shares	70,000,000	57,817,142	57,817,142	57,817,142
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	700,000,000	578,171,420	578,171,420	578,171,420

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	57,817,142	0	57817142	578,171,420	578,171,420	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0

v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify NA				0	0	
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify NA				0	0	
At the end of the year	57,817,142	0	57817142	578,171,420	578,171,420	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify NA				0	0	
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify NA				0	0	
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☒ Nil

[Details being provided in a CD/Digital Media]

☐

Yes

☐

No

☐

Not Applicable

Separate sheet attached for details of transfers

☐

Yes

☐

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting			
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

76,019,000

(ii) Net worth of the Company

267,668,420

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	57,817,142	100	0	
10.	Others	0	0	0	
	Total	57,817,142	100	0	0

Total number of shareholders (promoters)

7

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	

	Total	0	0	0	0
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Total number of shareholders (other than promoters)

0

**Total number of shareholders (Promoters+Public/
Other than promoters)**

7

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	0	0
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	3	0	3	0	0	0
(i) Non-Independent	3	0	3	0	0	0
(ii) Independent	0	0	0	0	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	0	3	0	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

6

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
JIGAR MAHESH PAND	07325170	Director	0	
DIBYENDU PRASAD S.	07329042	Director	0	
STEFAN GROENING	07657587	Director	0	
KALYANARAMAN	AGIPR2202F	CEO	0	
MANGESH GANPAT B.	AAAPB8802B	CFO	0	
RITU DWARKAPRASAI	BQYPS6449A	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
NISHA GANDHI	AGPPV6907Q	CFO	21/07/2021	Cessation
MANGESH GANPAT B.	AAAPB8802B	CFO	18/01/2022	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	30/09/2021	7	7	100

B. BOARD MEETINGS

*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	27/05/2021	3	3	100
2	22/09/2021	3	3	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
3	15/12/2021	3	3	100
4	18/01/2022	3	3	100

C. COMMITTEE MEETINGS

Number of meetings held

0

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1					

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	28/09/2022
								(Y/N/NA)
1	JIGAR MAHES	4	4	100	0	0	0	Yes
2	DIBYENDU PI	4	4	100	0	0	0	Yes
3	STEFAN GRC	4	4	100	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	KALYANARAMAN	CEO	0	0	0	0	0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
2	NISHA GANDHI	CFO	0	0	0	0	0
3	MANGESH GANPA	CFO	0	0	0	0	0
4	RITU DWARKAPRA	Company Secre	0	0	0	0	0
	Total		0	0	0	0	0

Number of other directors whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	JIGAR MAHESH PA	Director	7,591,791	0	0	0	7,591,791
2	DIBYENDU PRASA	Director	5,762,948	0	0	0	5,762,948
	Total		13,354,739	0	0	0	13,354,739

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

- * A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

HIMANSHU KAMDAR

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

3030

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

(c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.

(d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

08

dated

10/06/2020

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIBYENDU PRASAD SAHU
Digitally signed by DIBYENDU PRASAD SAHU
Date: 2022.11.16 17:48:37 +05'30'

DIN of the director

07329042

To be digitally signed by

RITU DWARKAPRA SAD SHARMA
Digitally signed by RITU DWARKAPRA SAD SHARMA
Date: 2022.11.16 17:48:21 +05'30'

☒ Company Secretary

☐ Company secretary in practice

Membership number

36621

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach**Attach****Attach****Attach****List of attachments**

MGT-8 _Espresso_2022.pdf
EFSP- List of shareholders FY 2021-22.p
Clarification Note_Form_MGT_7_2022.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Shareholding pattern of ESPRESSO FINANCIAL SERVICES PRIVATE LIMITED as on March 31, 2022 (Formerly known as Sharekhan Comtrade Private Limited)					
Sr. No.	Name of Share Holders	Folio No	Address	Number of Equity Shares	Amount paid up (Rs)
Equity share capital (Rs. 10/- Per Share)					
1	Sharekhan Limited	003	The Ruby, 18th Floor, 29 Senapati Bapat Marg, Dadar (West), Mumbai – 400 028	57,817,136	578,171,360
2	Human Value Developers Private Limited (Nominee of Sharekhan Limited)	004	The Ruby, 18th Floor, 29 Senapati Bapat Marg, Dadar (West), Mumbai – 400 028	1	10
3	Sharekhan BNP Paribas Financial Services Limited (Nominee of Sharekhan Limited)	005	The Ruby, 18th Floor, 29 Senapati Bapat Marg, Dadar (West), Mumbai – 400 028	1	10
4	Sharekhan Commodities Private Limited (Nominee of Sharekhan Limited)	006	The Ruby, 18th Floor, 29 Senapati Bapat Marg, Dadar (West), Mumbai – 400 028	1	10
5	Wealthtiger Investment Advisors Private Limited (Nominee of Sharekhan Limited)	007	The Ruby, 18th Floor, 29 Senapati Bapat Marg, Dadar (West), Mumbai – 400 028	1	10
6	Sharekhan Consultants Private Limited (Nominee of Sharekhan Limited)	008	The Ruby, 18th Floor, 29 Senapati Bapat Marg, Dadar (West), Mumbai – 400 028	1	10
7	Sharekhan.Com India Private Limited (Nominee of Sharekhan Limited)	009	The Ruby, 18th Floor, 29 Senapati Bapat Marg, Dadar (West), Mumbai – 400 028	1	10
TOTAL				57,817,142	578,171,420

For **ESPRESSO FINANCIAL SERVICES PRIVATE LIMITED**
(Formerly known as Sharekhan Comtrade Private Limited)


Ritu Sharma
Company Secretary

Membership Number- ACS 36621

Add:- A-1/103, Samrat Ashok CHS Ltd, Jakat Naka,
Opp. Science garden, Virar west-401303

Date:- November 10, 2022

Place:- Mumbai

Espresso Financial Services Private Limited.

Registered office: The Ruby, 18th Floor, 29 Senapati Bapat Marg, Dadar (West), Mumbai – 400 028, Maharashtra, INDIA, Tel: 022-67502000 Fax: 022-2432734;
Administrative office: Lodha IThink Techno Campus, 10th Floor, Beta Building Off. JVLR, Opp. Kanjurmarg Railway Station, Kanjurmarg (E) Mumbai – 400 042, Maharashtra, INDIA, Tel: 022 – 61150000 | Fax: 022 – 67481899 | website: www.myespresso.com
SEBI Regn. Nos: BSE/NSE(CASH/F&O/CD)/MCX – Commodity: INZ000041331 | CIN No. U65100MH2015PTC269036 | DP : CDSL/NSDL: IN-DP-451-2020;
NSE Member ID – 90194; BSE Member ID – 6732; MCX Member ID – 55400 | for any complaints. email at igc@myespresso.com

Rathi & Associates

COMPANY SECRETARIES

A-303, Prathamesh, 3rd Floor, Raghuvanshi Mills Compound, 11-12, Senapati Bapat Marg, Lower Parel (W), Mumbai - 400 013.
Tel.: 4076 4444 / 2491 1222 • Fax : 4076 4466 • E-mail : associates.rathi8@gmail.com

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of the Companies
(Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have conducted online verification & examination of records, as facilitated by **Espresso Financial Services Private Limited** (formerly known as "Sharekhan Comtrade Private Limited") ("the Company"), on verification of registers, records and books and papers as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31st March, 2022. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year, the Company has complied with the provisions of the Act & Rules made thereunder in respect of:
 - 1) its status under the Act;
 - 2) maintenance of registers/records and making entries therein within the time prescribed therefore;
 - 3) filing of forms and returns as stated in the annual return, with the Registrar of Companies were within the prescribed time;
 - 4) calling/ convening/ holding meetings of Board of Directors and the meeting of the members of the Company on due date as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded



in the Minutes Book/ registers maintained for the purpose and the same have been signed;

- 5) contracts/ arrangements with related parties as specified in Section 188 of the Act which were in the ordinary course of business and on arm's length basis;
- 6) signing of audited financial statement as per the provisions of Section 134 of the Act and report of directors is as per sub - sections (3) and (5) thereof;
- 7) constitution, disclosures of Directors and remuneration paid to them & appointment, cessation and disclosures of key managerial personnel;
- 8) borrowings of unsecured loan from Sharekhan Limited i.e. Holding Company;

C. during the aforesaid financial year, the Company was not required to comply with provisions of the Act & Rules made thereunder in respect of:

- 1) filing of forms and returns with the Regional Director, Central Government, the Tribunal, Court or other authorities;
- 2) resolutions passed by postal ballot;
- 3) closure of Register of Members/ Security holders;
- 4) advances/ loans to its directors and/ or persons or firms or companies referred in Section 185 of the Act;
- 5) issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;
- 6) keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;
- 7) declaration/payment of dividend; transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with provisions of Section 125 of the Companies Act;
- 8) appointment/ re-appointments / retirement/ filling up of causal vacancies of the Directors and re-appointments / retirement/ filling up of causal



vacancies of the Key Managerial Personnel and the remuneration paid to them;

- 9) appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
- 10) approvals required to be taken from the Central Government, Tribunal, Regional Director, Court or such other authorities under the various provisions of the Act;
- 11) acceptance/ renewal/ repayment of deposits;
- 12) borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect;
- 13) loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;
- 14) alteration of the provisions of the Memorandum and/ or Articles of Association of the Company.



Date: 21st June, 2022

Place: Mumbai

UDIN: F005171D000514548

Peer Review Cer. No.: 668/2020

For RATHI & ASSOCIATES
COMPANY SECRETARIES

HIMANSHU S. KAMDAR
PARTNER
FCS: 5171
COP: 3030

Clarification Notes: -

NOTE-1:

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

- i. During the year, the following changes took place in the Key Managerial Personnel of the Company:

Mr. Nisha Gandhi relinquished the office of Chief Financial Officer w.e.f. July 21, 2021 and Mr. Mangesh Ganpat Bagwe appointed as Chief Financial Officer w.e.f. January 18, 2022.

Further, all the KMP's (CEO / CFO and Company Secretary) are appointed on honorary basis, hence no remuneration is mentioned in the table.

MINISTRY OF CORPORATE AFFAIRS
RECEIPT
G.A.R.7

SRN : F44420263

Service Request Date : 16/11/2022

Payment made into : ICICI Bank

Received From :

Name : Himanshu Kamdar

Address : Rathi and Associates, Company Secretaries

A -303, Prathamesh , 3rd Floor , Raghuvanshi Mills Compound, 11-12, Senapati Bapat Ma

Mumbai, Maharashtra

India - 400013

Entity on whose behalf money is paid

CIN: U65100MH2015PTC269036

Name : ESPRESSO FINANCIAL SERVICES PRIVATE LIMITED

Address : The Ruby ,18th Floor,

29 Senapati Bapat Marg, Dadar (West)

Mumbai, Maharashtra

India - 400028

Full Particulars of Remittance

Service Type: eFiling

Service Description	Type of Fee	Amount(Rs.)
Fee for Form MGT-7 for the financial year ending on 2022	Normal	600.00
Total		600.00

Mode of Payment: Credit Card- ICICI Bank

Received Payment Rupees: Six Hundred Only

Note –The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)