<u>Espresso Financial Services Private Limited(formerly known as Sharekhan</u> <u>Comtrade Private Ltd)</u>

Format for Investor Complaints Data to be displayed by Depository Participants on their respective websites

Data for every month ending - 31/10/2022

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6		7	8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

SN	Month	Carried forward	Received	Resolved*	Pending**
		From previous			
		month			
1	2	3	4	5	6
1	January, 2022	0	2	2	0
2	February, 2022	0	4	4	0
3	March, 2022	0	0	0	0
4	April, 2022	0	1	1	0
5	May, 2022	0	2	2	0
6	June, 2022	0	6	4	2
7	July, 2022	2	0	2	0
8	August, 2022	0	1	1	0
9	September, 2022	0	0	0	0
10	October, 2022	0	0	0	0
	Grand Total	2	16	16	2

Trend of monthly disposal of complaints

*Should include complaints of previous months resolved in the current month, ifany.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

SN	Year	Carried forward from previous	Received during the	Resolved during the year	Pending at the end of the
		year	year		year
1	2018-19	0	0	0	0
2	2019-20	0	0	0	0
3	2020-21	0	6	6	0
4	2021-22	0	10	10	0
5	2022-23	0	10	10	-
	Grand Total	0	26	26	0

Trend of annual disposal of complaints